5.

PTO/SB/05 (08/00)

Approved for use through 10/31/2002, OMB 0651-0032

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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#### UTILITY PATENT APPLICATION TRANSMITTAL

H0001266 Attorney Docket Raymond W. Borden First Inventor MULTI-POLE HIGH SPEED GENERATOR WITH A MODULAR RECTIFIER CIRCUIT

(Only for new nonprovisional applications under 37 CFR 1.53(b))

EL645094993US **Express Mail** Label No.

#### APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO:

**Assistant Commissioner for Patents Box Patent Application** Washington, D.C. 20231

1	Fee transmittal Form (Submit an original and a duplicate for fee processing)
2.	Applicant claims small entity status See 37 CFR 1.27.
3.	Specification [Total Pages (preferred arrangement set forth below)
	Descriptive title of the invention     Cross References to Related Applications
	- Statement Regarding Fed Sponsored R&D
	<ul> <li>Reference to sequence listing, a table or a computer program listing appendix</li> </ul>
	- Background of the Invention
	- Brief Summary of the Invention
	<ul> <li>Brief Description of the Drawings (if filed)</li> </ul>
	Detailed Description

CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

Computer Readable Form (CRF) Specification Sequence Listing on b. CD-ROM or CD-R (2 Copies); or

ii.

Statements verifying identity of above copies

<b>Drawing(s)</b> (35 USC 113)	[Total Sheets	8
Oath or Declaration	[Total Pages	3
a. X Newly executed (original Copy from prior Ap	ginal or copy)	1.63(d))

DELETION OF INVENTOR(S)
SIGNED STATEMENT ATTACHED DESCRIPTION INVENTOR(S)
named in prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).

Divisional

Application Data Sheet. See 37 CFR 1.76

- Abstract of the Disclosure

- Claim(s)

Customer Number or Bar Code Label

ACCOMPANYING	APPLIC	CATION	PARTS
			****

		Assignment Papers (cover sheet & documents)
10.		37 CFR 3.73(b) Statement (where there is an assignee)
11		English Translation Document (if applicable)
12	X	Information Disclosure Statement (IDS)/PTO-1449 Citations
40		Dualiminary Amandment

		Preliminary Amendment
14	X	Return receipt postcard (MPEP 503) (Should be specifically itemized)

Certified copy of priority Document(s) (if foreign priority is claimed)

6	П	Other
-		

Continuation-in-part (CIP) of prior application no.

Continuation Divisional	Continuation-in-part (CIP) of prior application no
Prior application information: Examiner	
For CONTINUATION OR DIVISIONAL APPS only:	The entire disclosure of the prior application, from which an oath or declaration is
upplied	sure of the accompanying continuation or divisional application and is hereby

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: and in a preliminary amendment or in

under Box 5b, is considered a part of the disclosu SS

18. CORRESPONDENCE ADDRE
5

(Insert Customer No. or Attach bar code label here)

or	х	Correspondence address below

NAME	Keith Newburry, Esq. Honeywell International, Inc.					
	Law Dept. AB2					
ADDRESS	P.O. Box 2245					
City	Morristown	STATE	NJ	ZIP CODE	07962	
COUNTRY	USA	TELEPHONE		FAX	·	

45,264 Registration No. bwicz Name Date: 4 Signature

sup ated to take 0/2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments of complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. the amount of time you are required to DO NOT SEND FEES OR COMPLETED

### PTO/SB/17 (12/99) Approved for use through 9/30/2000. OMB 0651-0032

## FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement otherwise large entity fees must be paid, See Forms PTO/SB/09-12 See 37 C.F.R. §§1.27 and 1.28

TOTAL	AMOL	JNT	OF	PAYN	JENT

1836.00

Patent and Trade	Office: U.S. DEPARTMENT OF COMMERCE			
Ce lete if Known				
Application Number				
Filing Date	April 13, 2001			
First Named Inventor	Raymond W. Borden, et al			
Group Art Unit				
Examiner Name				
Attorney Docket Number	н0001266			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)			
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. ADDITIONAL FEES			
Deposit Account Number 01-1125	Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Paid			
Deposit Account Name Honeywell International, Inc.	105 130 205 65 Surcharge - late filing fee or oath  127 50 227 25 Surcharge - late provisional filing fee or			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	cover sheet  139 130 139 130 Non-English specification			
	147 2,520 147 2,520 For filing a request for reexamination			
2. Payment Enclosed:  Check Money Other	112 920 112 920 Requesting publication of SIR prior to Examine action			
Check Money Other	113 1,840 113 1,840 Requesting publication of SIR after Examiner action			
FEE CALCULATION	115 110 215 55 Extension for reply within first month			
4 DAGY SHING EEE	116 380 216 190 Extension for reply within second month			
1. BASE FILING FEE Large Entity Small Entity	117 870 217 435 Extension for reply within third month			
Large Éntity Small Entity  Fee :: Fee Fee Fee Code: (\$) Fee Description Fee Paid	118 1,360 218 680 Extension for reply within fourth month			
	128 1,850 228 925 Extension for reply within fifth month			
101 620 201 345 Utility filing fee 710.00	119 300 219 150 Notice of Appeal			
106 310 206 155 Design filing fee	120 300 220 150 Filing a brief in support of an appeal			
107 480 207 240 Plant filing fee	121 260 221 130 Request for oral hearing			
108 = 690 208 345 Reissue filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding			
114 150 214 75 Provisional filing fee	140 110 240 55 Petition to revive unavoidably abandoned application			
SUBTOTAL (1) 710.00	141 1,210 241 605 Petition to revive unintentionally abandoned application			
Fee from	142 1,210 242 620 Utility issue fee (or reissue)			
2. CLAIMS Extra Fee from Fee Paid	143 430 243 215 Design issue fee			
Total Claims 67 -20**= 47 X 18 = 846.00	144 580 244 290 Plant issue fee			
*Independent 6 -3**= 3 X 80 = 240.00	122 130 122 130 Petitions to the Commissioner			
Multiple Dependent Claims 0 = 0.00	123 50 123 50 Petitions related to provisional applications			
1086.00	126 240 126 240 Submission of Information Disclosure Stmt			
** or number previously paid, if greater, For reissues see below	581 40 581 40 Recording each patent assignment per property 40.00 (times number of properties)			
Large Entity Small Entity Fee	146 690 246 345 Filing a submission after final rejection (37 CFR 1.129(a))			
Code (\$) Code (\$) Fee Description 103 18 203 9 Claims in excess of 20	149 690 249 345 For each additional invention to be examined (37 CFR 1.129(b))			
102 80 202 40 Independent claims in excess of 3				
104 270 204 135 Multiple dependent claim	Other fee (specify)			
109 80 209 40 Reissue independent claims over original patent	Other fee (specify)			
110 18 210 9 Reissue claims in excess of 20 and over original patent	SUBTOTAL (3) 40.00			
SUBTOTAL (2) (\$) 1086.00				

SUBMITTED BY Complete (if applicable)						арріісавіе)	
Typed or Printed Name	Paul D.	amrozowicz 1		Registration No. (Attorney/Agent)	45,264	Telephone	602-230-5542
Signature	K	MIN				Date	4/13/01

PATENT APPLICATION SERIAL	NO
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# U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

04/17/2001 EFLORES 00000073 011125 09834595

01 FC:101 710.00 CH 02 FC:103 846.00 CH 03 FC:102 240.00 CH